

## Application

<b>Federal Award Identification Number (FAIN)</b>	MN-2022-008-00
<b>Temporary Application Number</b>	6935-2022-1
<b>Application Name</b>	5311 Tribal Transit Competitive Capital grant for Vehicle Replacement
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2026
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: FOND DU LAC RESERVATION**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6935	Indian Tribe	FOND DU LAC RESERVATION		039582366

Location Type	Address	City	State	Zip
Headquarters	1785 HIGHWAY 210	CARLTON	MN	557188161
Physical Address	1785 HIGHWAY 210	CARLTON	MN	55718
Mailing Address	1720 BIG LAKE RD	CLOQUET	MN	55720

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: 5311 Tribal Transit Competitive Capital grant for Vehicle Replacement**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
MN-2022-008-00	Final Concurrence / Reservation	Grant	2/16/2022	2/16/2022	No

**Application Executive Summary**

Purpose: This grant utilizes \$373,435 in FY2021 5311 Tribal Transit Competitive Program funds to undertake the selected project proposal. This project is funded 100% federal share. No local share required.

Activities to be performed: The Transit service will purchase five new buses to replace retired buses. New buses will improve the fleet reliability and reduce overall costs for the next several years of operating the program.

Expected outcomes: This grant will ensure fleet is in good repair. This project ensures continued reliable demand-response weekday service on the Fond du Lac Reservation

Intended beneficiaries: The Fond du Lac Reservation and the riding public. New purchases will ensure accessibility for ridership. New purchases will ensure handicapped accessibility by having handicapped lifts installed in each bus purchased.

No Subrecipients.

In executing this grant, the Fond du Lac Reservation agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

As Grant Agreement includes an FTA-funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making an FTA- assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Attachments to this application include the FY21 Tribal Transit Program project selection notice

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Evan	Gross	Transportation Program Specialist	evan.gross@dot.gov	(312) 886-1619
Tammy	Anderson	Transit Manager	tammyanderson@fdlrez.com	(218) 878-8008

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$373,435
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$373,435</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2022-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$373,435.00	\$0.00	\$373,435.00	5
MN-2022-008-01-00	BUY 11.12.04 REPLACEMENT <30 FT BUS	\$373,435.00	\$0.00	\$373,435.00	5

**Discretionary Allocations**

Discretionary	Project Title	Amount	FAIN	Congressional Release
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ID	Applied	Date
D2022-TRTR-020	Fond du Lac Capital Grant 2021 \$373,435	MN-2022-008-00

## Part 3: Project Information

### Project Title: FY2021 5311 Tribal Transit Program Competitive Capital Grant for Vehicle Replacements

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2022-008-01-00	6935-2022-1-P1	2/16/2022	3/15/2022	12/30/2024

#### Project Description

This grant will utilize \$373,435 in FY2021 5311 Tribal Transit Competitive Program funds to provide five new transit buses for the Fond du Lac Reservation to replace retired vans. FDL Transit provides on demand service within the Reservation boundaries and surrounding areas of the cities of Cloquet, Carlton and a DFR route to Duluth three times a day. Normal On-demand operating hours are 5am to 6pm Monday through Friday. Normal On-demand weekend hours are 6am to 6pm. This allows the community accessibility to employment, health care, shopping, educational opportunities and community services.

#### Project Benefits

Fond du Lac Transit reaches the most rural areas where our community is not serviced by any other transportation options. Fond du Lac Transit has a great need for safe, reliable buses and this grant will help continue these efforts for the Community who rely on Fond du Lac Transit to get them to and from employment, health care, shopping, educational opportunities and community services.

#### Additional Information

We have retired our vans due to the COVID outbreak. Vans were not providing the safe distance needed for passengers and drivers.

#### Location Description

Stauber is our Representative for our District 8.

### Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

### Congressional District Information

State	District	Representative
Minnesota	8	Richard M Nolan

### Program Plan Information

#### STIP/TIP

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: 1/31/2017

Description: Goal pages 8-11 and 20-28

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
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Local			\$0
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State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$373,435</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2022-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$373,435.00	\$0.00	\$373,435.00	5
MN-2022-008-01-00	BUY 11.12.04 REPLACEMENT <30 FT BUS	\$373,435.00	\$0.00	\$373,435.00	5

**Project Budget Activity Line Items****Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	5

**Extended Budget Description**

\$373,435 will be used to purchase 5 new buses (<30 feet) with handicapped accessibility. Bids collected

and approval contract will be made. The new buses will have a useful life of 5 years or 150,000 miles. The vans being replaced had a useful life of 4 years or 100,000 miles and have all reached or will reach their useful life benchmark at the time of the delivery of the new vehicles:

- #112 - 2010 Dodge Caravan with 123,884 miles. VIN 2D4RN4DE1AR339768 - exceeded useful life
- #118 - 2010 Ford E-350 with 300,214 miles. VIN 1FBSS3BL1ADA58127 - exceeded useful life
- #132 - 2014 Ford E-350 with 230,936 miles VIN 1FBSS3BS4EDA11526 - exceeded useful life
- #136 - 2017 Ford E-350 with 167,084 miles VIN 1FBZX2CG0HKA52045 - exceeded useful life
- #144 - 2018 Ford E-350 with 84,102 miles VIN 1FBZX2CM4JKA95170 - will reach useful life before replacement

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

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State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$373,435</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/15/2022	Will send out RFP of bids.
Contract Award Date	5/15/2022	Will approve Bids and send contract to vendor. TVM Reports due
Contract Completion Date	12/30/2024	All Vehicles delivered - Completion should take no longer with the expressed concerns of COVID shut downs by manufacturers.

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that

can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	3/10/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	5	\$373,435.00	\$373,435.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Evan Gross**

Comment Type DOL Review for Information

Date 3/24/2022

Comment 5311 Tribal Transit Program - Competitive grant award. DOL for information.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND

CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: FOND DU LAC RESERVATION

Recipient ID: 6935

UEI:

DUNS: 039582366

#### **Application Information**

Federal Award Identification Number: MN-2022-008-00

Application Name: 5311 Tribal Transit Competitive Capital grant for Vehicle Replacement

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: Purpose: This grant utilizes \$373,435 in FY2021 5311 Tribal Transit Competitive Program funds to undertake the selected project proposal. This project is funded 100% federal share. No local share required.

Activities to be performed: The Transit service will purchase five new buses to replace retired buses. New buses will improve the fleet reliability and reduce overall costs for the next several years of operating the program.

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Intended beneficiaries: The Fond du Lac Reservation and the riding public. New purchases will ensure accessibility for ridership. New purchases will ensure handicapped accessibility by having handicapped lifts installed in each bus purchased.

No Subrecipients.

In executing this grant, the Fond du Lac Reservation agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

As Grant Agreement includes an FTA-funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE



program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making an FTA- assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Attachments to this application include the FY21 Tribal Transit Program project selection notice

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$373,435.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$373,435.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$373,435.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$373,435
Local			\$0
Local/In-Kind			\$0
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Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$373,435</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective**

**Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*FOND DU LAC RESERVATION*